



Name: _____

Email: _____

PRE-HIRE BUSINESS QUESTIONNAIRE

ALL INFORMATION IS CONFIDENTIAL. IF YOU DO NOT BECOME ASSOCIATED WITH POLAR INVESTMENT COUNSEL, INC., THIS INFORMATION WILL BE DESTROYED.

TRADING (USE 12 MONTH AVERAGE)

1. # of stock trades per month: _____ 2. # of options trades per month: _____
 3. # of mutual fund trades per month: _____ 4. # of annuity transactions per month: _____
 5. # of fixed income transactions per month: _____ 6. # of limited partnership transactions in past 12 months* _____

*using separate sheet of paper headed "Limited Partnerships", state the name of each such partnership, address & telephone number of the general partner or administrator.

7. Other business: describe any other type of securities business which you do, as well as the percentage of total securities revenue derived from that business in the trailing 12 months.

OUTSIDE BUSINESS

List all outside business affiliations from which you derive an income (if your are unsure of the intent of this question, please call Michael Jordan):

BUSINESS TYPES

State what percentage of your securities business income came from the following categories in the prior 12 months:

Stock Trades _____%	Annuities _____%	Ltd Partnerships _____%
Options Trading _____%	Advisory Business _____%	Fixed Income _____%
Futures Hedge _____%	Futures Spec _____%	Futures Pools _____%
(Trades/Month) _____	(Trades/Month) _____	
Other _____%	Describe: _____	

BUSINESS DOLLARS

1. Approximate total value of all accounts: \$ _____ 2. Total number of accounts: _____
 3. Dollar amount of current margin debits: \$ _____ 4. Total number of Partnership or Trust accounts: _____
 5. Total number of IRA accounts: _____
 6. Liquidating value of current futures accounts: Hedge \$ _____ Specs \$ _____

SELLING AGREEMENTS

Please list the names of all insurance companies for which you sell or maintain variable annuities, mutual funds and limited partnerships. (This information will allow us to determine if the firm needs any additional selling agreements).

THE INFORMATION CONTAINED HEREIN IS USEFUL TO US IN CREATING A SMOOTH TRANSITION TO OUR FIRM. THIS INFORMATION WILL BE DESTROYED 30 DAYS FROM SIGNING IN THE EVENT THAT YOU DO NOT AGREE TO JOIN THE FIRM.

 Registered Representative Signature

 Dated